



## ACCOUNT LINKING LIMITED TRADING AUTHORIZATION with Rights of Distribution 帳戶串聯有限交易授權書並擁有提領權

### I. Description 描述

Scottrade, Inc. (Scottrade) provides an account linking service to Scottrade Account Owners. Account Owners may designate another Scottrade account owner (Authorized Agent) as the Primary Account to provide Scottrade trading instructions and access the account(s) listed below (Linked Account(s)). One of the key features of the account linking service is that the Authorized Agent, through the Primary Account, may access the linked account(s) online without having to separately login to the Scottrade website. With this access, the Authorized Agent may view account information and if the account is set up for the ScottradeELITE™, trade in the linked account without entering a trading password. If the Linked Account is not authorized to use ScottradeELITE, the Authorized Agent must be provided with the Linked Account's trading password. You must provide the Authorized Agent with your trading password in order for the Primary Account to trade in your account if you are not authorized to use ScottradeELITE™.

史考特證券公司 (Scottrade) 為其帳戶持有人提供帳戶串聯服務。帳戶持有人可以指定另一 Scottrade 帳戶持有人 (授權代理人) 作為主要帳戶來向 Scottrade 提供交易指示以及連接下列的帳戶 (串聯帳戶)。帳戶串聯的其中一個重要特點是授權代理人, 通過主要帳戶在不必分別登入 Scottrade 網站的情形下, 可以在網路連接串聯的帳戶。以此連接, 授權代理人可以查閱帳戶資料, 如果帳戶設立了 Scottrade 即時報價精華 (ScottradeELITE™), 授權代理人可不輸入交易密碼便在您帳戶里交易。如果串連的帳戶沒有使用精華報價系統的權利, 那麼需要向授權代理人提供被串聯帳戶的交易密碼。

#### \*\* PLEASE READ \* 請閱讀

- Any previously existing Agent with Trading Authorization on, or any other party that currently has access to, the Primary Account and/or the Linked Account(s), will continue to be in force.

任何以前已有的交易授權代理人或目前可連接主要帳戶和/或串聯的帳戶的任何其他一方, 將會繼續有效。

- Account Linking is currently subject to the registration limitations of the branch where the Primary Account is assigned (usually within same State). If the Primary Account and Linked Account(s) are not assigned to the same Scottrade branch, the Primary Account Authorized Agent will need to contact the designated branch of the Linked Account Owner to place any broker-assisted trades.

帳戶串聯目前受主要帳戶所指派的分行的註冊限制 (通常在同一州)。如果主要帳戶和串聯帳戶沒有指派到同一 Scottrade 分行, 主要帳戶授權代理人將需要聯絡串聯帳戶持有人所在的分行來進行任何由經紀人協助的交易。

- Professional Quote Subscribers are currently not allowed to designate their account as a Primary Account, or be linked to other accounts.

目前不允許專業報價訂閱者將他們的帳戶指定為主要帳戶或與其他帳戶串聯。

- International account are not eligible for Account Linking at this time

國際帳戶目前還不可以串聯帳戶。

### II. Authorization 授權

I, as an account owner listed in section V, hereby authorize and appoint Primary Account Owner(s) listed in Section IV as my Primary Account Authorized Agent and Attorney-in-Fact in respect to my Linked Account(s) listed in Section V. The Authorized Agent is authorized to act for me and on my behalf in the same manner and with the same force and effect as I might or could do, and Scottrade is authorized to follow my Authorized Agent's instructions as if directly instructed by me, with respect to the following authorized powers: 我, 作為在第V部分列出的帳戶持有人, 在此授權並指定在第IV部分列出的主要帳戶持有人作為我的主要帳戶授權代理人 and 實際代理人。授權代理人有權以我的名義, 與我一樣的方式和效力為我做出行動, 並且 Scottrade 有權針對以下授予權力像遵循我的指示一樣遵循授權代理人的指示:

- 1) Buy, sell, exchange, convert, tender, trade, or otherwise acquire or dispose of stocks, bonds, mutual funds, and any other securities, financial contracts or financial instruments. 買, 賣, 交換, 轉換, 償還, 交易 或者獲取或處置股票, 債券, 共同基金和其他證券, 金融合約或金融產品工具。
- 2) Engage in Margin transactions, if the account is approved by Scottrade for trading on margin, pursuant to the margin rules and requirements as set forth by Scottrade. 如果帳戶已被 Scottrade 核准交易融資融券, 可從事遵循 Scottrade 融資融券規定的融資融券交易。
- 3) Engage in Options transactions, if the account is approved by Scottrade for trading options, pursuant to the options rules and requirements as set forth by Scottrade. 如果帳戶已被 Scottrade 核准交易期權, 可從事遵循 Scottrade 期權規定的期權交易。
- 4) Receive access to any information on or about the account which would be beneficial in assisting the Authorized Agent in executing above stated transactions. 接受有助於授權代理人執行上述交易的相關帳戶的資料。
- 5) Request checks and registered stock certificates to be distributed out of the account. [Note: these will only be issued in the registered name and address of the requested account, no changes or LOAs will be accepted from the Authorized Agent. In addition, asset transfer requests will only be accepted with written authorization from the principal Account Owner(s).] 要求從帳戶裏支取支票和股票證書。(注意: 這些要求只能發給帳戶本人和帳戶裏的地址, 我們不接受授權代理人所要求的更改或授權書。另外, 我們祇接受主要 帳戶持有人的書面資產轉移要求。

### II. Indemnity and Applicable Law 免責書及相關法律

I understand all such transactions conducted by the Authorized Agent are at my risk, and that Scottrade does not monitor the account for suitability or risk of any trade. I hereby ratify and confirm any and all transactions with Scottrade heretofore or hereafter made by the Authorized Agent for the above account(s). Accordingly, I hereby agree to indemnify Scottrade, its affiliates, successors, assigns, officers, directors, agents, and employees, and hold them free and harmless from, and to promptly pay Scottrade upon demand for, any and all losses, liabilities, claims, and costs (including reasonable attorney fees) or financial obligations that may arise from the acts or omissions of the Authorized Agent with respect to this account(s). I understand that this authorization and indemnity is a continuing one and shall remain in full force and effect until such time that Scottrade receives notice that the Principal Owner of a linked account has become disabled or incapacitated, as defined in the Missouri Revised Statutes, or receives written notice of revocation, delivered to Scottrade's principal headquarters, located in St. Louis, Missouri. Such revocation, however, shall not affect any prior liability in any way resulting from any transactions initiated prior to receipt of such revocation. This authorization and indemnity shall be governed and enforced according to the laws of the State of Missouri, and its provisions shall be continuous and inure to the benefit of Scottrade, its successors, affiliates, and assigns. This authorization and indemnity is in addition to, and no way limits or restricts any rights or responsibilities that have been made under any other agreement or agreements between the Primary and/or Linked Account Owner(s) and Scottrade. **By signing this document, both the Owner(s) of the Linked Account(s) and the Authorized Agent acknowledge that they have read and agree to the terms and conditions of this agreement and the Scottrade Brokerage Account Agreement.**

我理解我將承擔由授權代理人執行交易所帶來的風險, Scottrade 不會監視任何交易的風險和適合與否。本人在此批准和確認以上帳戶授權代理人與 Scottrade 在此或此後進行的任何和所有的交易。因此, 我同意在此免除 Scottrade 以及其相關單位, 繼承者, 受讓人, 執行官, 管理人員, 代理人, 雇員的法律責任, 並豁免他們由於帳戶授權代理人的行為和疏忽而造成的損失, 索賠和費用 (包括一定的律師費) 或財務義務, 而且要在要求下, 及時賠償 Scottrade。我明白本授權和免責書將自動延續, 並保持全部效力, 除非 Scottrade 收到串聯帳戶主要持有人被密蘇里州修訂法案認定為殘廢或喪失能力, 或收到遞送到 Scottrade 在聖路易斯總部書面的撤銷通知, 然而此撤銷通知, 應不會以任何形式影響任何以前在收到此撤銷通知之前所發生交易造成的責任。此授權和免責應由密蘇里州的法律所管轄和實施, 並且其條款應具有連續性並符合 Scottrade, 繼承者, 合作下屬部門, 轉讓者的利益。此授權和免責書是附加在其它合約或由我本人, 授權代理人, 和 Scottrade 所達成的合約之上的, 並不局限或限制以上合約的權利和責任。**串聯帳戶的擁有人及授權代理人的以下簽名承認我們已閱讀並同意此合約以及 Scottrade 經紀帳戶和約書中的條款和約定。**

**COMPLETION OF ALL INFORMATION IS REQUIRED**  
需填寫所有資料

**IV. Primary Account Owner Information 主要帳戶持有人資料**

PRIMARY SCOTTRADE ACCOUNT NUMBER 主要史考特帳戶號碼

LIST ALL AUTHORIZED PERSONS ON ACCOUNT請列出所有帳戶的授權人:

<input type="checkbox"/>	Mr. NAME姓名	FIRST名	MIDDLE 中間名	LAST姓
<input type="checkbox"/>	Mrs.			
<input type="checkbox"/>	Ms.			
<input type="checkbox"/>	Mr. NAME姓名	FIRST名	MIDDLE 中間名	LAST姓
<input type="checkbox"/>	Mrs.			
<input type="checkbox"/>	Ms.			
<input type="checkbox"/>	Mr. NAME姓名	FIRST名	MIDDLE 中間名	LAST姓
<input type="checkbox"/>	Mrs.			
<input type="checkbox"/>	Ms.			

By signing below I acknowledge that I have read and will adhere to the terms and conditions as stated in this Agreement and the Scottrade Brokerage Account Agreement. 經在以下簽名，我承認我已經閱讀並遵守在這個合約以及在史考特經紀帳戶合約中的條款。

**X** \_\_\_\_\_  
PRIMARY ACCOUNT OWNER/AUTHORIZED AGENT SIGNATURE 主要帳戶持有人 / 授權代理人簽名      DATE 日期

**X** \_\_\_\_\_  
PRIMARY ACCOUNT OWNER/AUTHORIZED AGENT SIGNATURE 主要帳戶持有人 / 授權代理人簽名      DATE 日期

**X** \_\_\_\_\_  
PRIMARY ACCOUNT OWNER/AUTHORIZED AGENT SIGNATURE 主要帳戶持有人 / 授權代理人簽名      DATE 日期

**V. Designated Accounts to be Linked:指定要被串聯的帳戶**

**SCOTTRADE ACCOUNT NUMBER 1 史考特帳戶號碼 1**      REGISTERED ACCOUNT NAME 帳戶註冊的姓名

**X** \_\_\_\_\_      **X** \_\_\_\_\_      \_\_\_\_\_  
LINKED ACCOUNT OWNER SIGNATURE 串聯帳戶持有人簽名      LINKED JOINT OWNER SIGNATURE 串聯共同帳戶持有人簽名      DATE 日期

**SCOTTRADE ACCOUNT NUMBER 2 史考特帳戶號碼 2**      REGISTERED ACCOUNT NAME 帳戶註冊的姓名

**X** \_\_\_\_\_      **X** \_\_\_\_\_      \_\_\_\_\_  
LINKED ACCOUNT OWNER SIGNATURE 串聯帳戶持有人簽名      LINKED JOINT OWNER SIGNATURE 串聯共同帳戶持有人簽名      DATE 日期

**SCOTTRADE ACCOUNT NUMBER 3 史考特帳戶號碼 3**      REGISTERED ACCOUNT NAME 帳戶註冊的姓名

**X** \_\_\_\_\_      **X** \_\_\_\_\_      \_\_\_\_\_  
LINKED ACCOUNT OWNER SIGNATURE 串聯帳戶持有人簽名      LINKED JOINT OWNER SIGNATURE 串聯共同帳戶持有人簽名      DATE 日期

**SCOTTRADE ACCOUNT NUMBER 4 史考特帳戶號碼 4**      REGISTERED ACCOUNT NAME 帳戶註冊的姓名

**X** \_\_\_\_\_      **X** \_\_\_\_\_      \_\_\_\_\_  
LINKED ACCOUNT OWNER SIGNATURE 串聯帳戶持有人簽名      LINKED JOINT OWNER SIGNATURE 串聯共同帳戶持有人簽名      DATE 日期

**SCOTTRADE ACCOUNT NUMBER 5 史考特帳戶號碼 5**      REGISTERED ACCOUNT NAME 帳戶註冊的姓名

**X** \_\_\_\_\_      **X** \_\_\_\_\_      \_\_\_\_\_  
LINKED ACCOUNT OWNER SIGNATURE 串聯帳戶持有人簽名      LINKED JOINT OWNER SIGNATURE 串聯共同帳戶持有人簽名      DATE 日期

**FOR SCOTTRADE USE ONLY**

RR Approval \_\_\_\_\_ BOM Approval \_\_\_\_\_ Date Link Created by Branch \_\_\_\_\_